

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

Concurrently

First Named Inventor

Boris FELTS et al.

Group Art Unit

Examiner Name

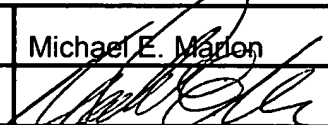
Attorney Docket Number

FR 000070

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	Michael E. Marion	Registration No. (Attorney/Agent)	32,266
Signature		Date	2/26/02

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# : \_\_\_\_\_ on the date below:

Name (Print Type)			
Signature		Date	

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				Atty. Docket No. FR 000070		Serial No.	
				Applicant Boris FELTS et al.			
				Filing Date CONCURRENTLY		Group	

U.S. PATENT DOCUMENTS									
Ex. Int.		Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.		
	AA								
	AB								
	AC								
	AD								
	AE								
	AF								

FOREIGN PATENT DOCUMENTS											
		Document Number	Date	Country	Class	Sub-class	Trans.				
							Yes	No			
	AG	0 0 4 0 1 2 1 6 7	5/3/2000	Europe			X				
	AH										
	AI										
	AJ										
	AK										

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)	
	AL "An embedded wavelet video coder using three-dimensional set partitioning in hierarchical trees (SPIHT)", by B. Kim and W.A. Pearlman, Proceedings DCC'97, Data Compression Conference, Snowbird, UT, USA, 25-27 March 1997, pp. 251-260.
	AM
	AN

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.